

UPDATE ON BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

UPCOMING MEETINGS



- **Stockholm Convention Effectiveness Evaluation Committee**
- **Basel Convention Open-ended Working Group**
- **Basel Convention Implementation and Compliance Committee**

Secretariat of the Basel, Rotterdam and Stockholm Conventions

26 January 2016

EFFECTIVENESS EVALUATION OF THE STOCKHOLM CONVENTION

Purpose of the effectiveness evaluation

- Assess whether the Convention has succeeded in achieving its objective of protecting human health and the environment from persistent organic pollutants;
- Determine the effectiveness of the specific measures taken to implement the Convention;
- Identify ways to improve the effectiveness of the Convention.

Framework for effectiveness evaluation

Process for effectiveness evaluation **adopted by:**

- SC-6/22:** Effectiveness evaluation; Framework set out in document;
- UNEP/POPS/COP.6/27/Add.1/Rev.1;
- Set of process and outcome indicators to evaluate effectiveness of implementation of the various article.

Effectiveness evaluation committee

Established in May 2015 (**SC-7/24**)

Consists of **14 experts**, as follows:

- Ten experts designated by parties;
- One from GMP GCG;
- One identified by compliance committee (when and if established);
- Two internationally recognized experts.

First stage: Information collection and compilation

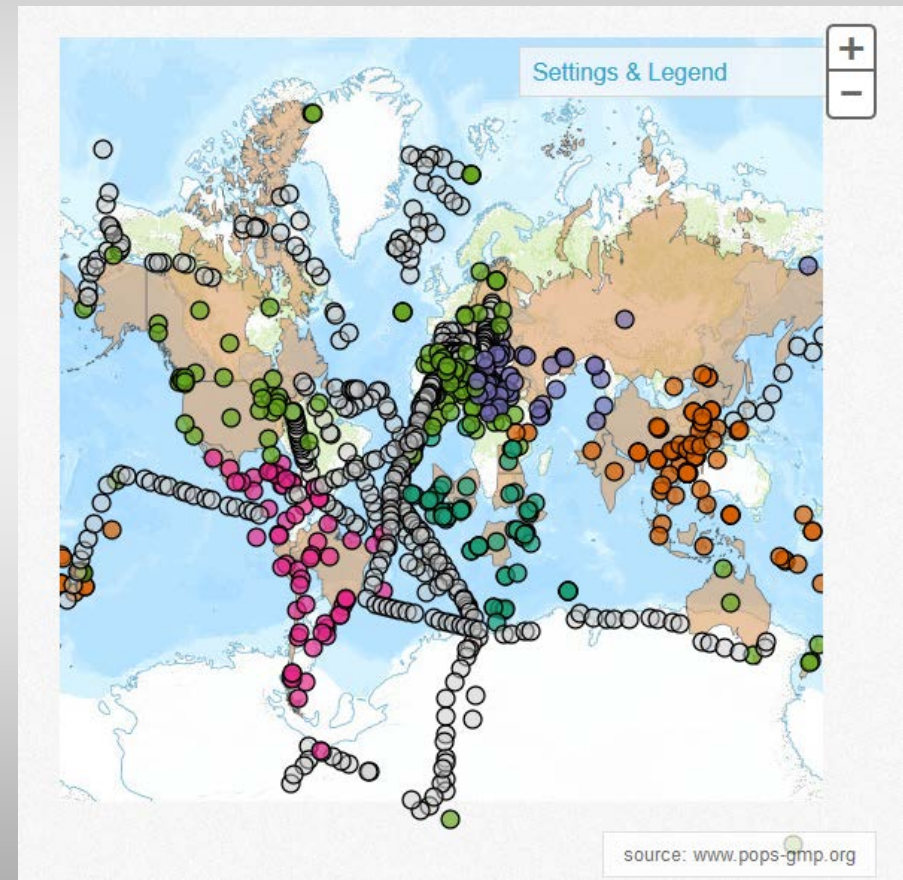
Independent compilation of information gathered through existing arrangements under the Convention, including the reports from the global monitoring plan, the compilation of national reports, technical assistance reports by the Secretariat and reports from the entity entrusted with administering the financial mechanism, as well as reports generated in accordance with the compliance procedure under Article 17, once established.

Second stage: Information synthesis and evaluation

Secretariat prepares a preliminary report, including compilation of the various information and data available in the information obtained from the first stage. The effectiveness evaluation committee reviews and evaluates the information compiled by the Secretariat, draws conclusions as to the effectiveness of the Convention, and makes recommendations to the Conference of the Parties on any improvements that might be warranted.

SOURCES OF INFORMATION

- Information on environmental monitoring in core media provided through the **global monitoring plan**;
- Information from parties provided through **national reports** submitted pursuant to Article 15;
- Information on **non-compliance** provided through procedures to be established under Article 17.



MEETINGS OF THE EEC IN 2016

- First meeting of the EEC: 16-18 February 2016, Geneva, Switzerland
 - Review the preliminary analysis prepared by the Secretariat and define the approach and workplan towards finalizing the effectiveness evaluation report.
- Second meeting of the EEC: October 2016, venue TBC
 - Finalize the effectiveness evaluation report, including conclusions and recommendations.
- The effectiveness evaluation report will be submitted to the Conference of the Parties for consideration at its 8th meeting in 2017.

Basel Convention

Tenth meeting of the Open-ended Working Group

30 May-2 June 2016, UNEP Headquarters, Nairobi, Kenya

www.basel.int/oewg10

Dates and venue of the meeting:

- Dates: 30 May to 2 June 2016 (Monday to Thursday)
 - Decision BC-12/19:
 - 4 days' duration, with up to 3 days of plenary sessions with simultaneous interpretation provided to be applied flexibly by the Executive Secretary.
 - Simultaneous interpretation will be provided on Monday, Tuesday and Thursday
 - Pre-meetings on 29 May (Sunday): Bureau meeting, regional meetings, etc. (Details to be announced.)
- Venue: UNEP Headquarters, Nairobi, Kenya
- Back to back with UNEA-2 (23-27 May 2016) and ICC-12 (4-6 June 2016)

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Pre-registration and request for travel funds:

- Invitation letter sent to parties and observers on 11 December 2015
- Deadline for registration and requesting travel funds: 12 February 2016
 - Please submit the registration form, official nomination letter or a note verbale from the permanent mission

Voluntary funding required for OEWG-10:

- Secretariat sent the funding request letter to parties and other stakeholders on 20 Nov 2015 and 21 January 2016
- Travel funds required: US\$ 493,000
- Travel funds received to date: US\$ 29,000 (Denmark)
- Funds for simultaneous interpretation and documents translation received from Japan
- **More travel funds needed to support participation of parties from developing countries and countries with economies in transition**

Basel Convention

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Bureau of OEWG-10:

- Co-Chairs: Mr. Jahisiah Benoit (Dominica) (Technical)
Mr. Santiago Dávila Sena (Spain) (Legal)
- Vice-Chairs: Ms. Shruti Rai Bhardwaj (India) (Technical)
Ms. Gordana Petkovic (Serbia) (Legal)
- Rapporteur: Ms. Petronella Rumbidzai Shoko (Zimbabwe)

Work programme:

- Decision BC-12/19: Work programme of the OEWG for the biennium 2016-2017

Meeting documents:

- Advance English version of all working documents are available on the website:
www.basel.int/oewg10
- Information documents will be available in coming weeks.



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Key issues at OEWG-10:

- Strategic framework
- Developing guidelines for environmentally sound management
- Cartagena Declaration
- Technical guidelines on POPs waste
- Technical guidelines on e-waste
- National reporting
- Consultation with ICC
- Providing further legal clarity
- Basel Convention Partnership Programme (PACE, Household waste)
- Other international cooperation and coordination

Provisional agenda: [UNEP/CHW/OEWG.10/1](#)

ICC-12

- Twelfth meeting of the Basel Convention Implementation and Compliance Committee
- 4-6 June 2016, Nairobi, Kenya (after OEWG-10)
- Funding provided by Japan
- Provisional agenda and meeting documents:
<http://www.basel.int/TheConvention/ImplementationComplianceCommittee/Meetings/ICC12/MeetingDocuments/tabid/4820/Default.aspx>
- Invitations sent out on 15 January 2016 to:
 - 15 ICC members: Dr. Zulkifli bin Abdul Rahman (Malaysia), Mr. Abderaman Mahamet Abderaman (Tchad), Dra Yocasta Valenzuela Arias (Dominican Republic), Mr Alberto Santos Capra (Argentina, Chair), Mr Wilehaldo Cruz (Mexico), Ms Fionna Cumming (New Zealand), Mr Mark Govoni (Switzerland, Rapporteur), Mr Humphrey Kasiya Mwale (Zambia, Vice Chair), Mr. Geri Geronimo R. Sañez (Philippines, Vice Chair), Dr. Marcus Schroeder (Germany), Mr. Iftikhar-UI-Hassan Shah Gilani (Pakistan), Ms Tatiana Tugui (Moldova), Ms Mari-Liis Ummik (Estonia) and Mr Djoddje Vukotic (Vice Chair). Nomination of alternate member from Egypt to be received.
 - 12 Parties concerned by a submission: Afghanistan; Bhutan; Cabo Verde; Central African Republic; Eritrea; Guinea-Bissau; Liberia; Libya; Oman; Swaziland; Togo; and Turkmenistan.
- Deadline for registering and seeking financial support: 12 February 2016
- Working language: English

ICC-12: PROVISIONAL AGENDA

- **Specific submissions regarding party implementation and compliance:**
 - (a) 12 specific submissions:
Afghanistan (FP/CA, Secretariat submission withdrawn); Bhutan (reporting); Cabo Verde (reporting); Central African Republic (reporting); Eritrea (reporting); Guinea-Bissau (reporting); Liberia (reporting); Libya (reporting); Oman (reporting); Swaziland (reporting); Togo (reporting); and Turkmenistan (CA)
 - (b) Evaluation of the amendment to paragraph 9 (c) of the terms of reference – *extended Secretariat trigger*,
 - (c) Review of the operation of the implementation fund.



ICC-12: PROVISIONAL AGENDA

- **Review of general issues of compliance and implementation under the Convention:**
 - (a) National reporting:
 - (i) Individual compliance performance – *with respect to 2013 reports*
 - (ii) Additional steps to improve timeliness and completeness of national reporting;
 - (iii) Revised guidance on improving national reporting;
 - (b) Illegal traffic – *guidance on paragraphs 2,3 and 4 of Article 9 (consequences of illegal traffic) to be consulted with OEWG-10*
 - (c) National legislation – *steps to assist Parties in developing adequate legal frameworks*
 - (d) Insurance, bond, guarantee - *draft guidance on paragraph 11 of Article 6*
 - (e) Control system - *transit issues among others*
 - (f) Improving the implementation and compliance with obligations under the Convention.

FOR MORE INFORMATION

Secretariat of the Basel,
Rotterdam and Stockholm
Conventions

<http://synergies.pops.int>

