





Science-Policy Interface for Plastic Pollution

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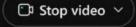
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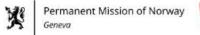














UNEA RESOLUTION "END PLASTIC POLLUTION: TOWARDS AN INTERNATIONAL LEGALLY BINDING INSTRUMENT"



UNITED

EP

UNEP/EA.5/Res.14

Distr.: General 7 March 2022

Original: English



and 28 February-2 March 2022

United Nations
Environment Assembly of the
United Nations Environment
Programme

United Nations Environment Assembly of the United Nations Environment Programme Fifth session Nairobi (hybrid), 22 and 23 February 2021

> Resolution adopted by the United Nations Environment Assembly on 2 March 2022

5/14. End plastic pollution: towards an international legally binding instrument

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Noting with concern that the high and rapidly increasing levels of plastic pollution represent a serious environmental problem at a global scale, negatively impacting the environmental, social and economic dimensions of sustainable development,

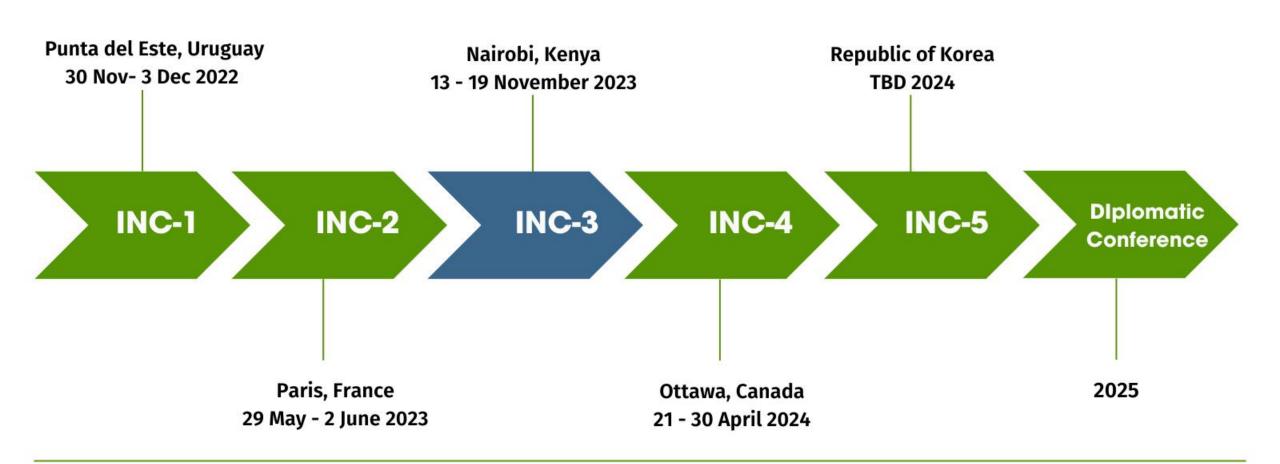
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Noting with concern the specific impact of plastic pollution on the marine environment,

Noting that plastic pollution, in marine and other environments, can be of a transboundary nature and needs to be tackled, together with its impacts, through a full-life-cycle approach, taking into account national circumstances and capabilities,

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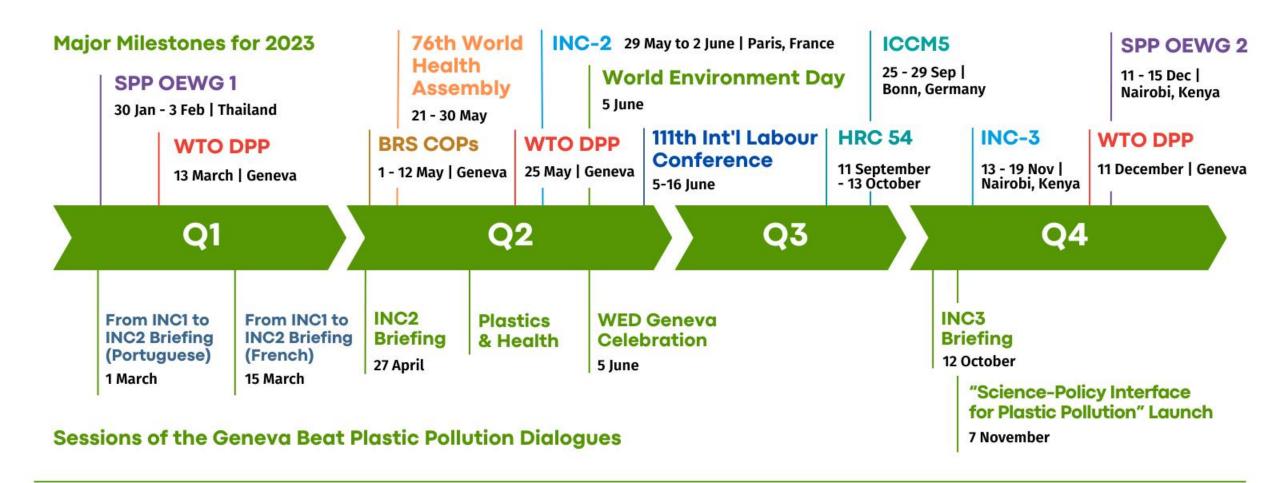
Plastics INC Timeline







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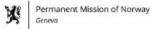


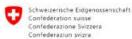
















WEBINAR SERIES | ROAD TO OEWG 2

Science-Policy Panel to Contribute Further to the Sound Management of Chemicals and Waste and to Prevent Pollution



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UNEA RESOLUTION "SCIENCE-POLICY PANEL TO CONTRIBUTE FURTHER TO THE SOUND MANAGEMENT OF CHEMICALS AND WASTE AND TO PREVENT POLLUTION"



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Annreciating the work on the promotion of the sound management of chemicals and waste an

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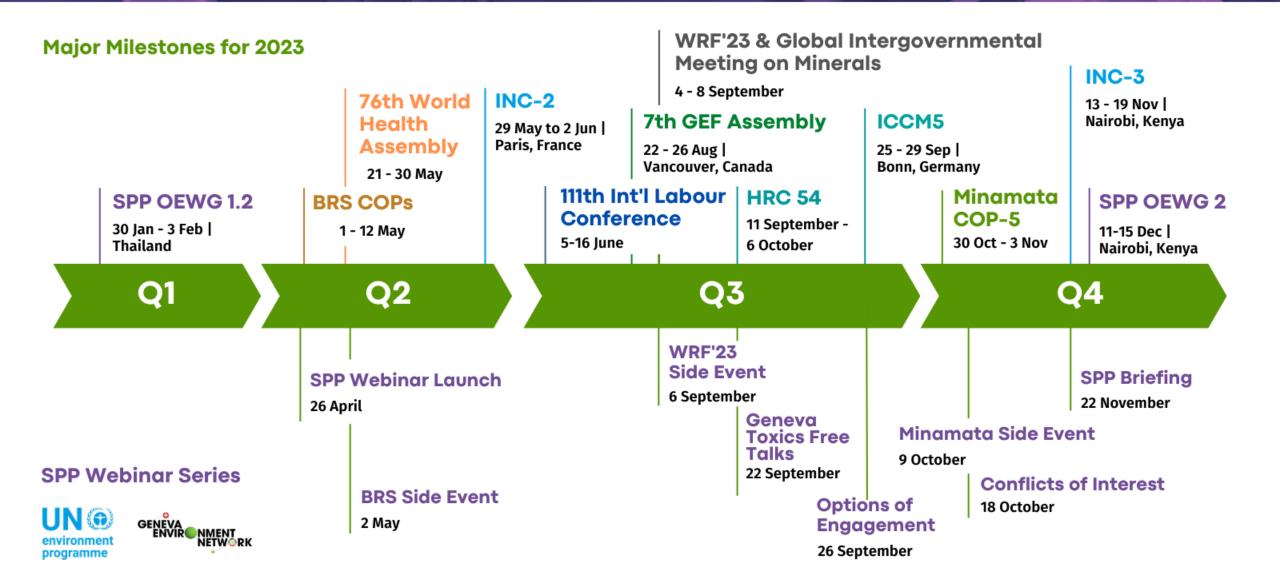




Establishing a Science-Policy Panel (SPP) for Chemicals, Waste and Pollution

The science is crystal clear that we are putting extreme pressures on the planet.

Towards OEWG 2 on a science-policy panel on chemicals, waste and pollution prevention



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SPEAKERS

By order of intervention.



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Michel TSCHIRREN

Head, Global Affairs, Federal Office for the Environment, Switzerland



Karen RAUBENHEIMER

Lecturer at Australian National Centre for Ocean Resources and Security (ANCORS), University of Wollongong



Niko URHO

Independent Consultant



Ana Paula DE SOUZA

Human Rights Officer, Office of the High Commissioner for Human Rights



Sam ADU-KUMI

envirohealth

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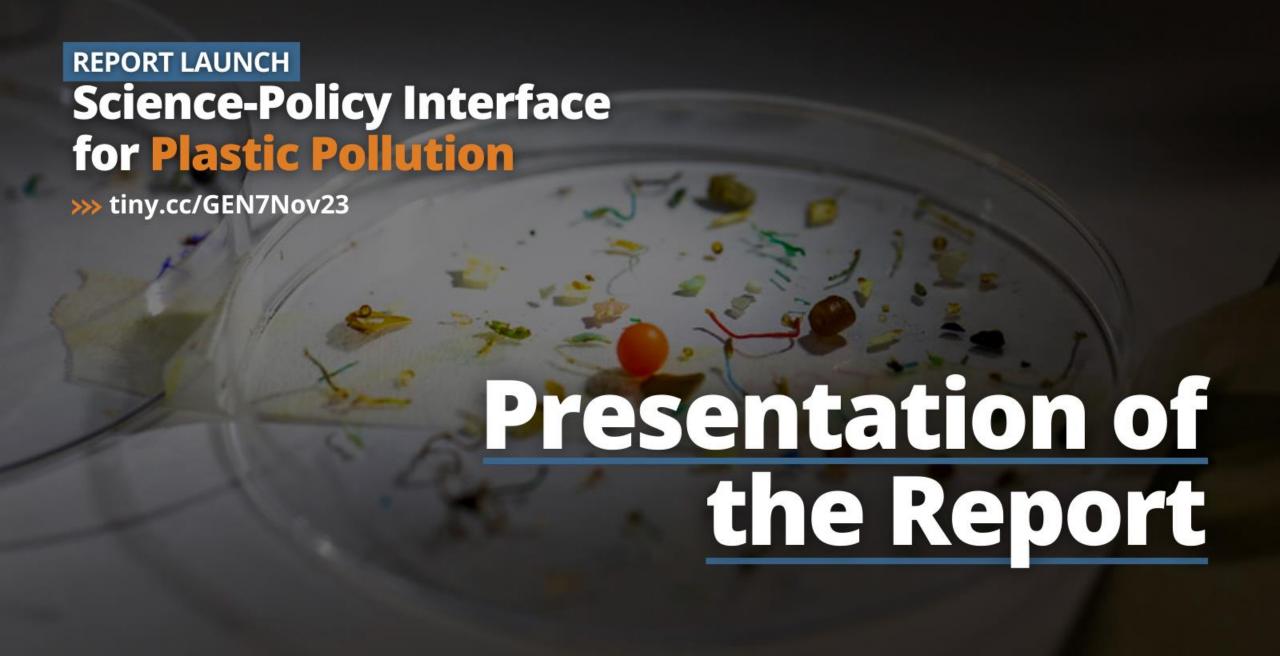
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Niko URHO

>>> tiny.cc/GEN7Nov23

Independent Consultant



Karen RAUBENHEIMER

Lecturer at Australian National Centre for Ocean Resources and Security (ANCORS), University of Wollongong









Science-Policy Interface for Plastic Pollution

Presentation of the report

7 November 2023





Topics

Possible functions of the science-policy interface for plastic pollution

by Karen Raubenheimer, University of Wollongong

 Possible institutional approaches to realize the science-policy functions for plastic pollution

by Niko Urho, Independent consultant





Possible functions of the science-policy interface for plastic pollution





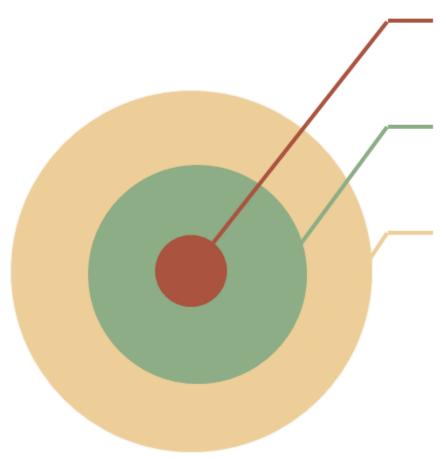
Science-Policy Interface

- Social processes for relations between scientists & other actors in the policy process
 - allow for exchanges, co-evolution, and joint construction of knowledge
 - aim to enrich decision-making
- Communication of such knowledge should be
 - policy-relevant but not policy-prescriptive
 - provide solution-oriented assessments
 - allow decision-makers to balance competing priorities
- Provide spectrum of functions
- Provide information to implement & review MEAs
- Independent intergovernmental bodies or subsidiary bodies of governing bodies





Key components for developing a science-policy interface for plastic pollution



Plastics instrument:

Functions and associated governance mechanisms to be internalized in the instrument

Science-Policy Panel:

Functions carried out by the Science-Policy Panel to support the plastics instrument

Other science-policy interfaces:

Science-policy interfaces of MEAs, multilateral bodies and other relevant bodies with functions that complement the plastics instrument





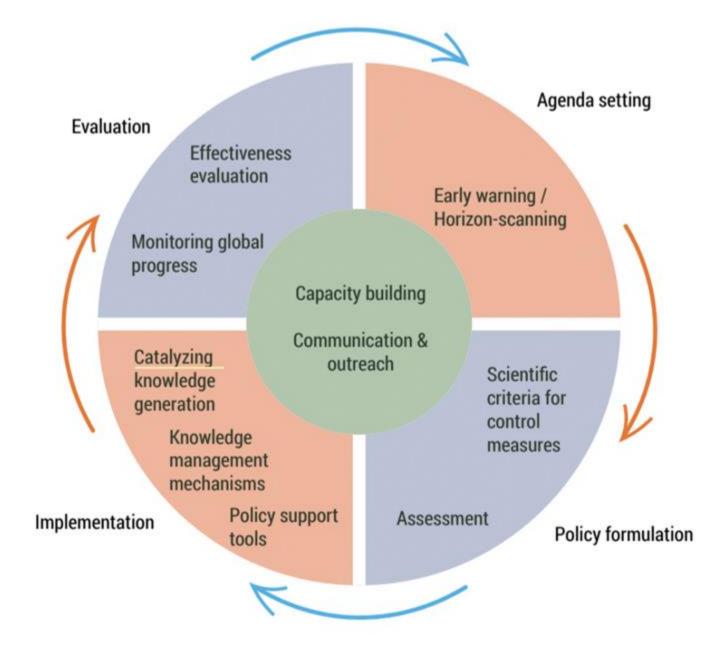
Key science-policy functions - selection criteria

- Evidence-based identification:
 - Review of leading studies for an effective science-policy interface in the realm of plastic pollution, chemicals, waste and pollution
- Guidance from UNEA resolutions:
 - Incorporation of scientific insights from UNEA Resolutions 5/14 (on the plastic instrument) and 5/8 (on the Science-Policy Panel).
- Stakeholder engagement:
 - Expert group input from academia, UN entities, NGOs, foundations, and MEA Secretariats.
 - Insights derived through a structured survey, collaborative workshops and review of two drafts of the report.





Key functions along the policy cycle







1. Agenda setting

Problem recognition and issue selection

Early warning / Horizon scanning (1)

- Needed to detect early signs of change not yet on the policy radar
- Can help detect risks related to novel entities, legacy plastics, and new technologies and practices





2. Policy formulation

Based on scientific input

Scientific criteria for control measures (2)

 Play an important role help give effect to core control measures of the plastics instrument

Assessment (3)

- Focuses commonly on aggregating and assessing existing research
- Global assessments, thematic assessments and socio-economic assessments may be needed





Possible criteria for control measures across the life cycle of plastics

Criteria for sustainable alternative feedstocks

Chemical phase

Criteria to identify chemicals and polymers of concern

Criteria to identify sustainable alternatives

Material phase

Criteria to identify products of concern

Criteria to identify sustainable nonplastic substitutes

Criteria to promote safe and sustainable design

Disposal phase

Criteria to promote environmentally sound waste management

Criteria to promote access to information (transparency)





3. Implementation

Government action, with scientific & technical support

Policy support tools (4)

- Needed to enhance compliance and implementation
- Include technical guidelines, BAT/BEP, and toolkits

Knowledge management mechanisms (5)

- Can provide a centralized platform to manage, store and present data,
- Can be used for visualizing progress

Catalyzing knowledge generation (6)

- Needed to ensure the availability of credible scientific information
- Availability of scientific information in developing countries concerning





4. Evaluation

Assessment of progress & effectiveness of policies, requiring significant scientific and technical support

Monitoring global progress (7)

- Requires scientific and technical support for developing and updating of indicators and harmonizing methodologies
- Includes monitoring plastics flows, quantifying leakage, assessing quantities of plastic pollution in the environment, concentrations in biota and humans and their impacts, and associated GHG emissions

Evaluation of effectiveness (8)

- Needed to understand the impact of the policy interventions in contributing to solving the problem
- May also include determining the effectiveness of national actions and individual response options





Cross-cutting functions

Capacity building (9)

 Needed to amplify the reach and impact of the science-policy interface's initiatives

Communication and outreach (10)

 Can help decision-makers make more informed decisions and help inform the public, making them more likely to support and comply.



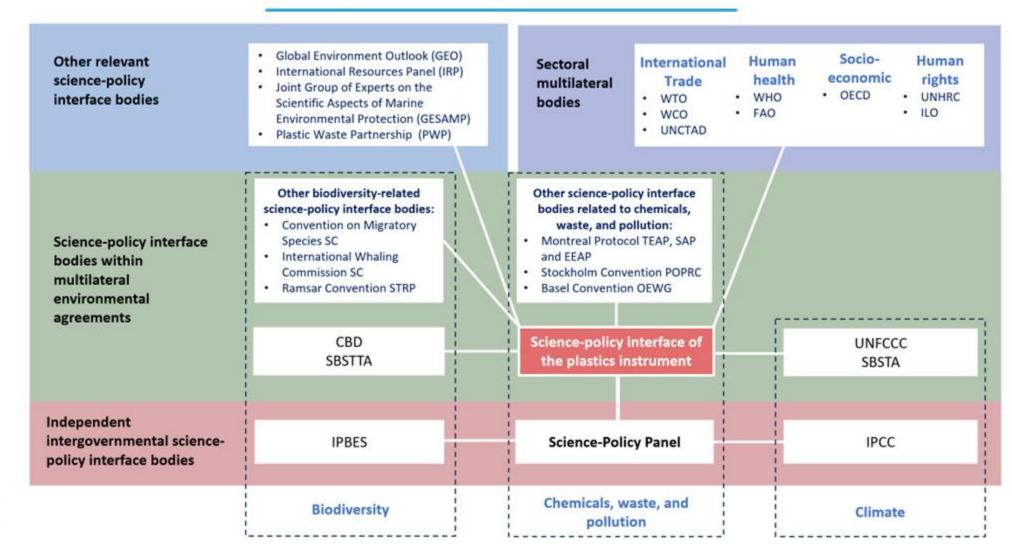


Possible institutional approaches to realize the science-policy functions for plastic pollution





Overview of the science-policy landscape for plastic pollution





Science-policy interface mandates

Policy phase	Function	UNEA Res. 5/8	UNEA Res. 5/14
AGENDA SETTING	Horizon scanning / early warning	para 2a	
POLICY FORMULATION	Scientific criteria for control measures Assessment	para 2b	para 3b para 3i
IMPLEMENTATION	Policy support tools Knowledge management mechanism Catalysing knowledge generation	para 2c	para 3o
EVALUATION	Monitoring global progress Effectiveness evaluation		para 3g para 3h
CROSS CUTTING	Capacity building Communication and outreach	OEWG 1.2 para 2c-2d	





1. Agenda setting

Problem recognition and issue selection

Plastics instrument

Science-Policy Panel

 Use the horizon scanning capacity of SPP to detect early signs of change not yet on the policy radar or addressed adequately, including proactively identify potential products, polymers and chemicals of concern





2. Policy formulation

Based on scientific input

Plastics instrument

- Consider establishing a scientific and technical body on chemical, polymer, and product safety to realize the scientific criteria for control measures. This may include:
- Reviewing nominations for listing chemicals, polymers, and products of concern.
- 2. Identifying possible safe and sustainable alternatives and substitutes based on socio-economic assessments.
- Developing and updating criteria for sustainable and safe design of plastics.

Science-Policy Panel

 Present chemicals, polymers and products of concern emerging from the horizon scanning function to the possible review committee under the plastics instrument.





2. Policy formulation (cont'd)

Based on scientific input

Plastics instrument

- Should the Science-Policy Panel not lead the development of global assessments, the plastics instrument could assume a leading role, possibly through a subsidiary scientific and technical body.
- Thematic assessments may be developed by ad hoc expert groups when specific needs arise.

Science-Policy Panel

- Primarily pursue the development of iterative global assessments under the Science-Policy Panel to ensure maximum credibility, authority, and reach of the outputs.
- Develop thematic assessments on plastic pollution, based on needs arising from the panel and requests forwarded to the panel from the plastics instrument.





3. Implementation
Government action, with scientific & technical support

Plastics instrument

- Develop policy support tools, such as technical guidelines, BAT/BEP, and toolkits through ad hoc expert groups or through a subsidiary body on scientific and technical advice
- The Secretariat could be tasked to develop to create a data hub on plastic pollution to execute the function on knowledge management systems
- Catalyse knowledge generation by empowering relevant institutions at various levels

Science-Policy Panel

- While not explicitly defined in its mandate, the SPP could assist in developing specific policy support tools
- Develop a **knowledge management systems** that consist of a comprehensive data hub encompassing all chemicals and pollution forms, including plastic pollution
- **Catalyse knowledge generation** by identifying gaps in scientific research, including formulating recommendations for directing future research efforts





4. Evaluation

Assessment of progress & effectiveness of policies, requiring scientific and technical support

Plastics instrument

- Involve scientists to help develop an indicator framework and methodologies for data collection to facilitate global monitoring efforts and the evaluation of the effectiveness of the instrument.
- Consider developing a global monitoring plan and an effectiveness evaluation process overseen by regional coordination groups and supported by an open-ended scientific group.

Science-Policy Panel

 Possible global assessments conducted under the panel may complement and reinforce the effectiveness evaluation of the plastics instrument





Cross-cutting functions

Plastics instrument

- Cover capacity building needs directly related to implementation of science-policy interface functions
- Prioritize the development of modalities to foster effective communication between scientists and decision-makers

Science-Policy Panel

- Take a comprehensive approach to capacity building by enhancing the foundational competencies of scientists
- Utilize the dedicated communication and outreach capacity of the Science-Policy Panel





Conclusions & next steps

- Identify and adapt key functions to meet the specific needs of the plastics instrument.
- Foster collaboration with the Science-Policy Panel and other science-policy interfaces to co-deliver these functions.
- Identify appropriate institutional structures to deliver these functions based on existing mandates
- Proposed actions for INC3:
 - Initiate intersessional work to develop a science-policy interface for plastic pollution
 - Conduct a comparative study with existing bodies linked to key MEAs to inform future decision-making







A UNEP Partner

Environmental Knowledge for Change



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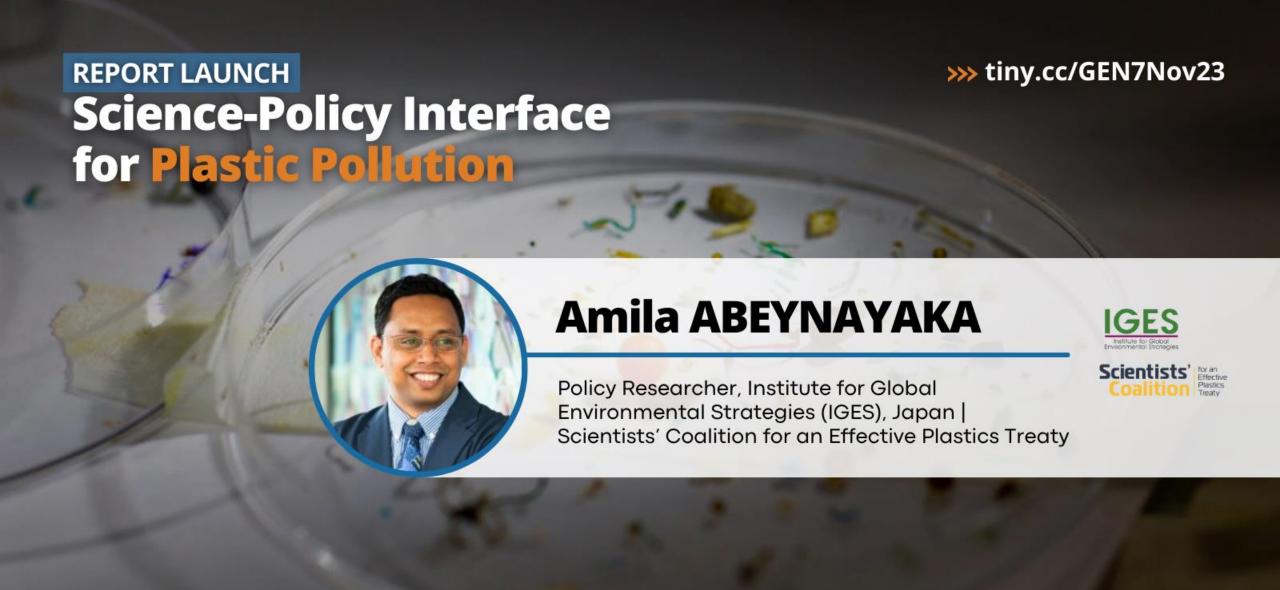
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https://ikhapp.org/scientistscoalition/

scientists.coalition@ikhapp.org

Science-Policy Interface for Plastic Pollution | Report Launch





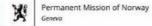


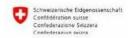












7 November 2023



Amila Abeynayaka, PhD Policy Researcher, Institute for Gobal Environmental Strategies (IGES)

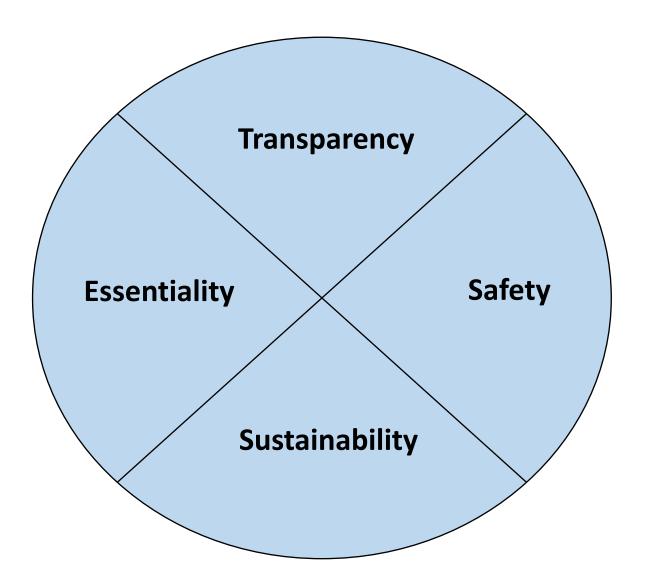


@litterlifecycle



Assessment criteria





- Plastics-associated chemicals
- Polymers
- Products
- Technologies (extraction, production, use, waste management, removal and remediation)
- Systems/services (e.g., reuse)

Including assessment of plastics alternatives (bioplastics) and material substitutes

- Credibility (transparency, openness to critique, & scientific independence)
- Legitimacy (broad participation & ownership)
- Salience (tailored outputs)
- Agility (built-in review & scientific flexibility)



Dedicated Science-Policy Interface



Hybrid Regulatory System

- Prohibited
- Restricted
- Permitted
- Exempt

Key Principles for an Effective Science-policy Interface



>Transparency:

- Sources of information and the methods.
- Make scientific information and data accessible.

➤Scientific Integrity:

- Scientific process is based on peer review, research ethics.
- Guard against bias, manipulation, distortion of scientific findings to serve specific policy agendas.

≻Independence:

- Independence from political or corporate influence.
- Protect scientists from undue pressure to conform to specific policy positions.

➤Inclusivity:

- Involve a diverse range of experts and stakeholders in the science-policy dialogue.
- Strive for a balance between various considerations (economic, environmental, and social concerns).

> Ethics and Conflict of Interest:

- Guidelines and mechanisms to identify and manage conflicts of interest.
- Disclosure of financial, personal, or institutional interests.

Key Principles for an Effective Science-policy Interface (Contd.)



➤ Communication and Engagement:

- Effective communication between scientists and policymakers, emphasizing plain language and clarity.
- Translate complex scientific information into understandable terms for non-expert audiences.
- Involve the public in the science-policy dialogue (trust, understanding, increase the legitimacy).

>Accountability:

- Scientists and policymakers accountable for their roles in the science-policy interface.
- Review/evaluate effectiveness of interface in providing evidence-based policy recommendations.

➤ Long-term Perspective (and flexibility):

- Recognize the importance of a long-term vision in science-policy interactions, as some issues require sustained engagement and monitoring.
- Adapt to changing circumstances and emerging scientific knowledge while maintaining a strong commitment to evidence-based decision-making.

≻Regional Context:

Specific challenges and opportunities that need to be considered.

Scientists' for an effective Plastics Treaty

Get in touch:

- Scientists.coalition@ikhapp.org
- Twitter.com/ScientistsCoa
- https://ikhapp.org/scientistscoalition/

7 November 2023 | 14:00 - 15:30 CET Online (Webex)



AGENDA

Moderated by Peter HARRIS | Managing Director, GRID-Arendal

Opening Remarks

- Walter SCHULDT | Permanent Mission of the Republic of Ecuador to the United Nations Office and other international organizations in Geneva
- Michel TSCHIRREN | Head, Global Affairs, Federal Office for the Environment, Switzerland

Presentation of the Report

- Karen RAUBENHEIMER | Lecturer at Australian National Centre for Ocean Resources and Security (ANCORS), University of Wollongong, University of Wollongong
- Niko URHO | Independent Consultant

Panel Discussion

- Ana Paula DE SOUZA | Human Rights Officer, Office of the High Commissioner for **Human Rights**
- Sam ADU-KUMI | Executive Director, EnviroHealth Consult (EHC) and National Expert, Chemicals and Waste, Ghana
- Christos SYMEONIDES | Clinical and Research Specialist, Minderoo Foundation
- Amila ABEYNAYAKA | Scientists' Coalition for an Effective Plastics Treaty
- Jacob KEAN-HAMMERSON | Ocean Campaigner, Environmental Investigation Agency

Q&A















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THANK YOU FOR JOINING!



Walter SCHULDT



Permanent Mission of the Republic of Ecuador to the United Nations Office and other international organizations in Geneva



Michel TSCHIRREN



Head, Global Affairs, Federal Office for the Environment, Switzerland



Karen RAUBENHEIMER



Lecturer at Australian National Centre for Ocean Resources and Security (ANCORS), University of Wollongong

Human Rights Officer, Office of the High

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Policy Researcher, Institute for Global Environmental Strategies (IGES), Japan Scientists' Coalition for an Effective Plastics

Treaty



Peter HARRIS





Managing Director, GRID-Arendal | Moderator



Jacob KEAN-HAMMERSON

Foundation



Ocean Campaigner, Environmental Investigation Agency









WHAT'S NEXT?





CONFERENCE

Briefing on the 2nd
Session of the Ad Hoc
Open-Ended Working
Group on the SciencePolicy Panel to Contribute
Further to the Sound
Management of
Chemicals and Waste
and to Prevent Pollution |
Road to OEWG2 Series

22 NOV 2023 14:00 - 16:00

International Environment House & Online | Webex

OEWG SPP CWP, GEN

⊙ Chemicals and Pollution | Science



CONFERENCE

How the UN Guiding
Principles on Business
and Human Rights can
inform the negotiations
on a new legally binding
instrument to end plastic
pollution | 2023 UN BHR
Forum

27 NOV 2023 16:00 - 17:00

Palais des Nations | Room XXVI & Online OHCHR, Special Procedures of the UN Human Rights Council, Peru, Ecuador, CIEL, GEN

- Human Rights and Environment | Chemicals and Pollution | Plastics
- O SDG12





